

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. SERIAL NO. PATENT AND TRADEMARK OFFICE 265036600070 09/371,648 APPLICANT **EXAMINER** INFORMATION DISCLOSURE R. Yanagimachi <u>Peter Paras</u> STATEMENT BY APPLICANT FILING DATE GROUP August 10, 1999 1632 U.S. PATENT DOCUMENTS **EXAMINER** INITIAL DOCUMENT NUMBER DATE NAME **CLASS SUBCLASS** FILING DATE FOREIGN PATENT DOCUMENTS **EXAMINER** TRANSLATION <u>INITIAL</u> **DOCUMENT NUMBER** DATE COUNTRY **CLASS SUBCLASS** YES NO **EXAMINER'S** OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) INITIAL Atkinson, P.W. et al. (1991). Association of exogenous DNA with cattle and insect spermatozoa in vitro. Mol. Reprod. Dev. 29:1-5. Chan, A.W.S. et al. (2000). Foreign DNA transmission by ICSI: injection of spermatozoa bound with exogenous DNA results in embryonic GFP expression and live Rhesus monkey births. Mol. Hum. Reprod. 6:26-33. Fernandez, M.A. et al. (1999). Sperm-mediated gene transfer into oocytes of the golden hamster: assessment of sperm function. Ind. J. of Exp. Biol. 37:1085-1092. Gandolfi, F. (1998). Spermatozoa, DNA binding and transgenic animals. Transgenic Research 7:147-155. Gandolfi, F. (2000). Sperm-mediated transgenesis. Theriogenology 53:127-137. Kuznetsov, A.V. and Kuznetsova, I.V. (1995). Binding of exogenous DNA pRK31acZ by the rabbit spermatozoa, its transfer in the oocytes and expression in the preimplantation embryos. 26:300-309. (Abstract in English, see last page). Magnano, A.R. et al. (1998). Sperm/DNA interaction: integration of foreign DNA sequences in the mouse sperm genome. J. Reprod. Immuno. 41:187-196. EXAMINER DATE CONSIDERED 13/00 EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line

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to applicant.

Sheet <u>2</u> of <u>2</u>

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCEENTS ATTY. DOCKET NO. SERIAL NO. 265036600070 09/371,648 PATENT AND TRADEMARK OFFICE EXAMINER APPLICANT INFORMATION DISCLOSURE Peter Paras <u>R. Yanagimachi</u> FILING DATE **GROUP** STATEMENT BY APPLICANT August 10, 1999 1632 U.S. PATENT DOCUMENTS **EXAMINER SUBCLASS** FILING DATE DOCUMENT NUMBER DATE NAME <u>CLASS</u> <u>INITIAL</u> FOREIGN PATENT DOCUMENTS TRANSLATION **EXAMINER SUBCLASS** YES INITIAL DATE COUNTRY **CLASS** NO **DOCUMENT NUMBER** OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) **EXAMINER'S** INTIAL Patil, J.G. and Khoo, H.W. (1996). Nuclear internalization of foreign DNA by zebrafish spermatozoa and its enhancement by electroporation $J.\ Exp.\ Zool.$ 274:121-129. Smith, K.R. (1999). Sperm cell mediated transgenesis: a review. Animal Biotechnology 10:1-13. Spadafora, C. (1998). Sperm cells and foreign DNA: a controversial relation. BioEssays 20:955-964. Tsai, H.J. et al. (1997). Sperm as a carrier to introduce an exogenous DNA fragment into the oocyte of Japanese abalone (Haliotis divorsicolor suportexta). Transgenic Research 6:85-95. EXAMINER DATE CONSIDERED EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line

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